

# Proton decay matrix element on the lattice with physical pion mass

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Host: Field Theory Research Team(R-CCS)

## 1 Introduction

- Baryon Asymmetry in the Universe
- Proton decay in GUT

## 2 Lattice simulation

- Introduction to Lattice QCD
- Lattice simulation at physical point

## 3 Matrix elements on lattice

- Bare Calculation
- Renormalization

## 4 Possible extensions

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# Baryon Asymmetry in the Universe(BAU)

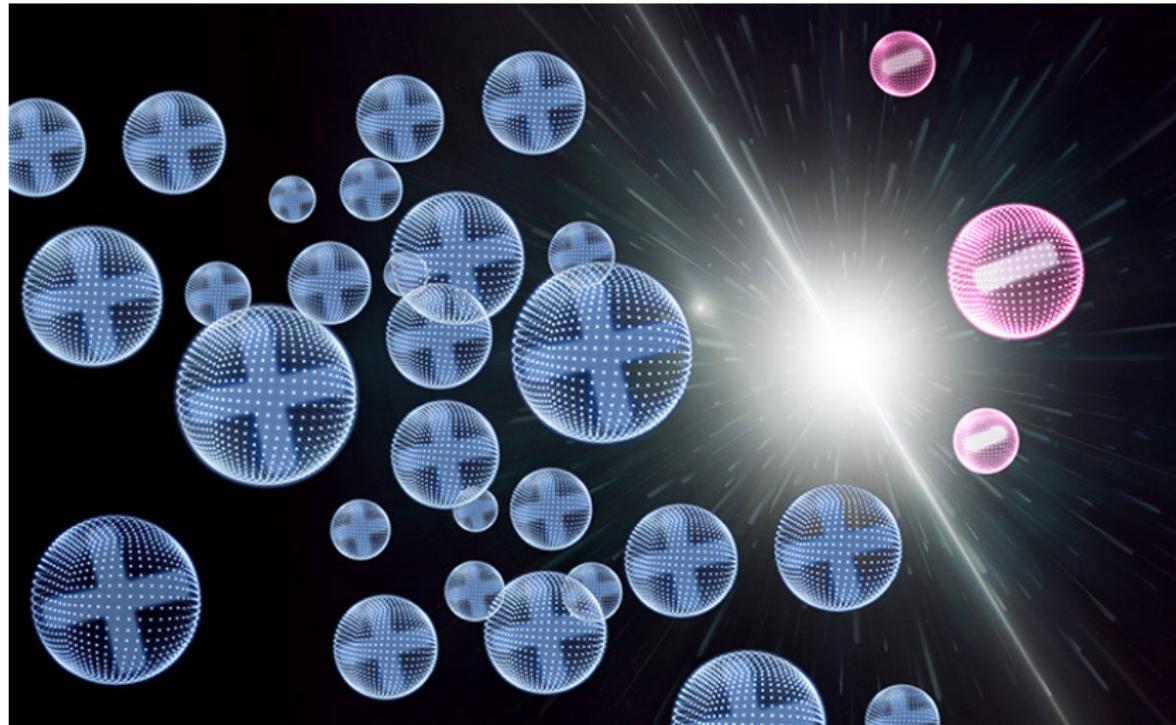


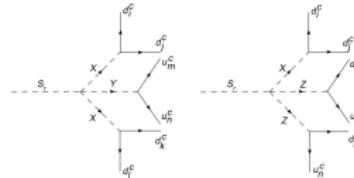
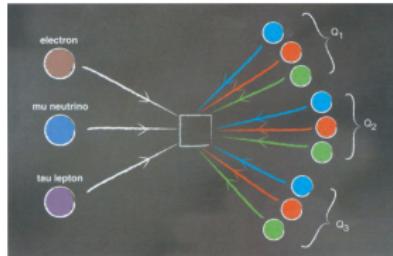
Figure: Matter-antimatter asymmetry [worldsciencefestival.com]

# Baryon Asymmetry in the Universe(BAU)

## Three possible origins of BAU:

- In the Early Universe  
BV heavy particle decay processes
- During the EW phase transition  
1st order EW phase transition  
2nd order PT based on the Higgs mass
- After the EWPT (post-sphaleron)  
EW scale scalar boson decay to  $6q, 6\bar{q}$

$$\begin{array}{c} Q_c \swarrow h_c \cdots H = Q_c \swarrow h_c \cdots H_C = \tilde{Q}_c \swarrow h_c \cdots \tilde{H}_C \\ c_R \qquad \qquad \qquad Q_c \qquad \qquad \qquad \tilde{Q}_c \\ Q_c \swarrow h_s \cdots H = Q_c \swarrow h_s \cdots H_C = \tilde{Q}_c \swarrow h_s \cdots \tilde{H}_C \\ s_R \qquad \qquad \qquad L_\mu \qquad \qquad \qquad \tilde{L}_\mu \end{array}$$

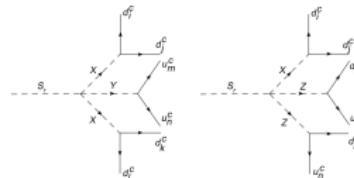
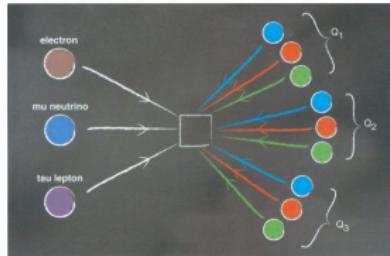


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# Proton decay

- (SUSY-)GUT provides the effective operator of lowest dimension 6.

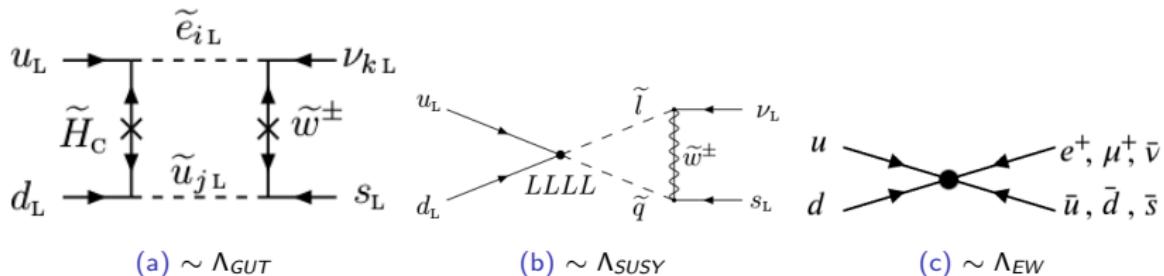


Figure: Proton decay operator at different scales

Model parameters come into Wilson coefficients

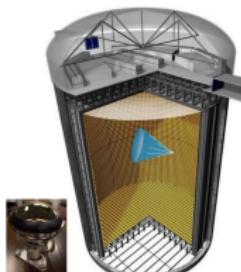
- $Y_{qq}$ ,  $Y_{ql}$ ,  $Y_{ud}$ ,  $Y_{ue}$
- $M_{H_C}$
- $m_{\tilde{l}}$ ,  $m_{\tilde{q}}$ , triangle loop integrals, ...

whereas the model independent content remains in the effective operator.

- Each model provides the different list of operators and the lifetime estimates

# Experimental measurement of proton lifetime

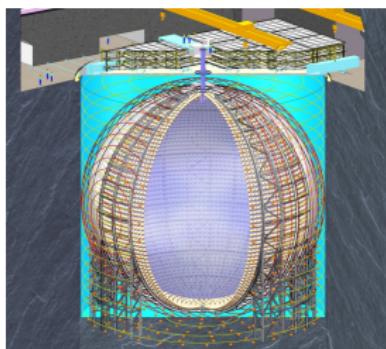
- Super Kamiokande  
Water Cherenkov detector  
Fiducial Volume 22.5kt



- Hyper Kamiokande  
Water Cherenkov detector  
Fiducial Volume 187kt

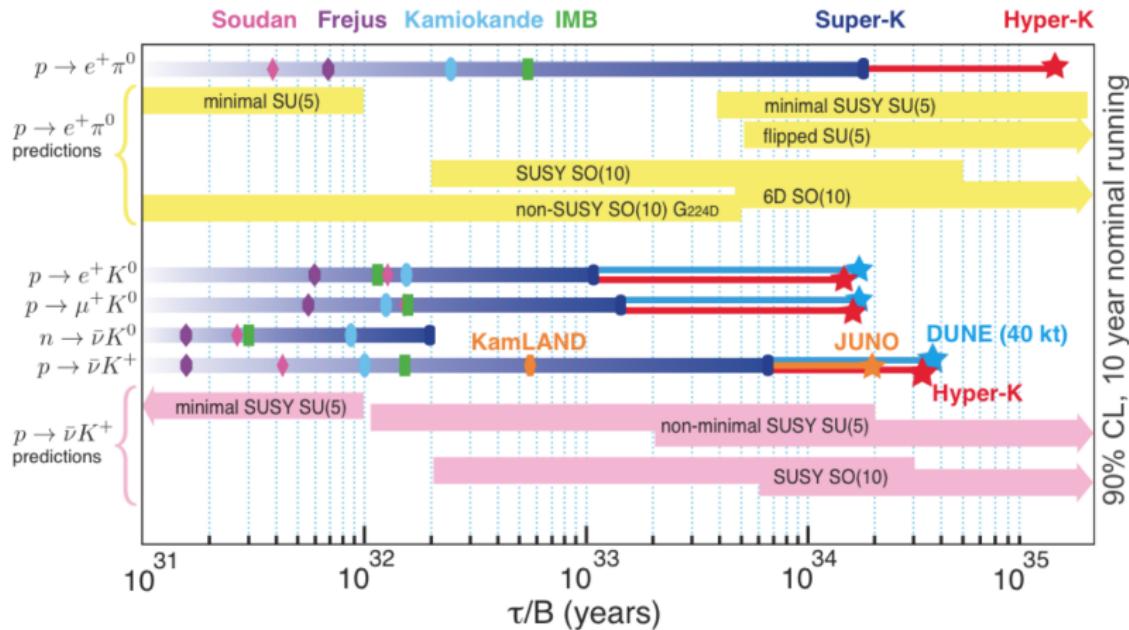


- DUNE  
LArTPC  
Fiducial Volume 40kt (10kt x4)



- JUNO  
Liquid Scintillator(LS)  
Fiducial Volume 5kt

# Experimental measurement of proton lifetime



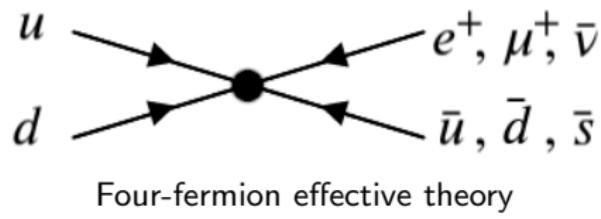
Current proton decay bound in SK, [Abe, 2018]

# Matrix element in the decay amplitude

## Proton decay amplitude

$$\langle \Pi \bar{\ell} | p \rangle_{GUT} \sim C^{\Gamma\Gamma'} \langle \Pi \bar{\ell} | \mathcal{O}_{\Gamma\Gamma'} | p \rangle_{SM} = C^{\Gamma\Gamma'} \bar{v}_\ell \langle \Pi | (\bar{q}^C q)_\Gamma P_{\Gamma'} q | p \rangle,$$

where  $C^{\Gamma\Gamma'}$  is a wilson coefficient,  $\Pi$  is a meson,  $p$  is a proton and  $(XY)_\Gamma = (X P_\Gamma Y)$ .



$$\langle \pi^0 | (\bar{u}^C d)_\chi u_L | p \rangle$$

$$\langle \pi^+ | (\bar{u}^C d)_\chi d_L | p \rangle$$

$$\langle K^0 | (\bar{u}^C s)_\chi u_L | p \rangle$$

$$\langle K^+ | (\bar{u}^C s)_\chi d_L | p \rangle$$

$$\langle K^+ | (\bar{u}^C d)_\chi s_L | p \rangle$$

$$\langle K^+ | (\bar{d}^C s)_\chi u_L | p \rangle$$

$$\langle \eta | (\bar{u}^C d)_\chi u_L | p \rangle$$

where  $\chi$  is a chirality  $L, R$  of the decay operator.

## Decay rate

The decay rate  $\Gamma$  is calculated from the hadronic matrix element,

$$\begin{aligned} & \langle \Pi(p') \bar{\ell}(q) | \mathcal{O}^{\Gamma\Gamma'} | N(p, s) \rangle \\ &= \bar{v}_\ell P_{\Gamma'} \left[ W_0^{\Gamma\Gamma'}(q^2) - \frac{i\cancel{q}}{m_N} W_1^{\Gamma\Gamma'}(q^2) \right] u_N(p, s) \\ &= \bar{v}_\ell P_{\Gamma'} W_0^{\Gamma\Gamma'}(q^2) u_N(p, s) + O(m_l/m_N) \bar{v}_\ell u_N(p, s) \end{aligned} \quad (1.1)$$

where  $\Pi$  a meson,  $N$  a nucleon, and  $W_{0,1}$  decay form factor[Aoki,1999].  
Then the decay rate is

$$\Gamma(p \rightarrow \Pi + \bar{\ell}) = \frac{(m_p^2 - m_\Pi^2)^2}{32\pi m_p^3} \left| \sum_I C_I W_0^I(p \rightarrow \Pi + \bar{\ell}) \right|^2.$$

## Lattice QCD

- QCD action implemented on the discretized 4D Euclidean spacetime lattice
- quark fields defined on the lattice site, gluon fields on the link between them
- direct computation of path integral (Monte Carlo method)

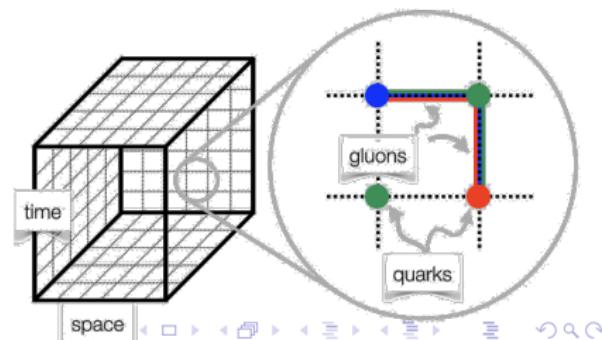
$$\langle \mathcal{O} \rangle = \frac{\int \mathcal{D}UD[\psi, \bar{\psi}] \mathcal{O} e^{-S_E}}{\int \mathcal{D}UD[\psi, \bar{\psi}] e^{-S_E}} = \frac{1}{N} \sum_i \mathcal{O}_i$$

where  $\mathcal{O}_i$  is a random variable distributed

along the probability density function  $\frac{e^{-S_E}}{\int \mathcal{D}UD[\psi, \bar{\psi}] e^{-S_E}}$ .

## Systematic and statistical error sources

- Expensive computational cost
- Discretization error
- Finite volume effect



# Previous study

Ref.	JLQCD (2000) [46]	CP-PACS & JLQCD (2004) [47]	RBC (2007) [48]	QCDSF (2008) [50]	RBC/UKQCD (2008,2014) [51,52]	RBC/UKQCD (2017) [3]	This work
Fermion	Wilson	Wilson	DW	Wilson	DW	DW	DW
$N_f$	0	0	0 and 2	2	3	3	3
Volume (fm $^3$ )	$(2.4)^2 \times 4.1$	$(3.3)^3$	Quench (1.6) $^3$ Two-flavor (1.9) $^3$	$(1.68)^3$	$(2.65)^3$	$(2.65)^3$	$(4.8)^3$ $(4.5)^3$
a (fm)	0.09	0	Quench 0.1 Two-flavor 0.12	0.07	0.11	0.11	0.2 0.14
$m_\pi$ (GeV)	0.45–0.73	0.6–1.2	Quench 0.39–0.58 Two-flavor 0.48–0.67	0.42–1.18	0.34–0.69	0.34–0.69	0.14 (physical)
Renorm. scale	One-loop $1/a, \pi/a$	One-loop 2GeV	NPR 2GeV	NPR 2GeV	NPR 2GeV	NPR 2GeV	NPR 2GeV
$\alpha$ (GeV $^3$ )	-0.015(1)	-0.0090	Quench -0.0100*(19) Two-flavor -0.0118*(21)	-0.0091(4)	-0.0119*(26)	-0.0144(15)	-0.0131(20)
$\beta$ (GeV $^3$ )	0.014(1)	0.0096	Quench 0.0108*(21) Two-flavor 0.0118*(21)	0.0090(4)	0.0128*(28)	0.0144(15)	0.0162(22)

## Problems in achieving physical point

For a lot of simulation on the lattice, non-physical quark mass  $m_{ud}$  is adopted for computational cost reasons, resulting in  $m_\pi^{latt} > 140 \text{ MeV}$ .

- Inversion in gauge configuration production (RHMC) cost is highly dependent on the quark mass

$$\text{Cost} \sim \left(\frac{L}{fm}\right)^5 L_s \left(\frac{\text{MeV}}{m_\pi}\right) \left(\frac{fm}{a}\right)^7 \left(\frac{\text{MeV}}{m_K}\right)^2 \left(C_1 + C_2 \left(\frac{a}{fm}\right)^3 \left(\frac{m_K}{m_\pi}\right)^2\right)$$

- Inverting Dslash with physical mass - high computational cost  
 $S = M^{-1}b$ , where  $M$  is an implementation of Dirac op.  $\not{D} = \not{\partial} + m$ .
- Finite volume effect - systematic errors of  $O(m_\pi L)$   
The size of the hadron cloud around the hadron, does not fit into the lattice volume, and it shifts the spectrum.
- Noise controlled by quark mass - Nucleon and 3/2 pions  
[Lepage] the correlation function of nucleon has noise due to pions  $O(e^{-m_N t - \frac{3m_\pi t}{2}})$ .

# Correlation functions of interpolating operators

We define the hadron interpolating operators,

$$J_N = \epsilon^{abc} (u^a)^T C \gamma_5 d^b) u^c$$

$$J_{\pi^+} = \bar{d}^a \gamma_5 u^a$$

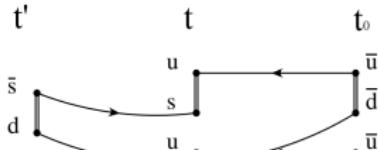
$$J_{K^+} = \bar{s}^a \gamma_5 u^a,$$

where  $u, d, s$  are quark fields with up, down, and strange flavors.

$$\sum_{\vec{x}', \vec{x}} e^{-i\vec{k} \cdot (\vec{x}' - \vec{x})} \text{Tr}[P_+ \langle 0 | J_N(\vec{x}', t) \bar{J}_N(\vec{x}, 0) | 0 \rangle] = \sum_i Z_N^{(i)} \frac{m_N + E_N}{2E_N} e^{-E_N^{(i)} t}$$

$$\sum_{\vec{x}', \vec{x}} e^{-i\vec{p} \cdot (\vec{x}' - \vec{x})} \langle 0 | J_\Pi(\vec{x}', t) \bar{J}_\Pi(\vec{x}, 0) | 0 \rangle = \sum_i \frac{Z_\Pi^{(i)} e^{-E_\Pi^{(i)} t}}{2E_\Pi^{(i)}},$$

(Meson)-(Decay Operator)-(Proton)



$$C^{3pt}(t, t') = \sum_{\vec{x}, \vec{x}'} e^{i(\vec{p}' \cdot \vec{x}' - \vec{q} \cdot \vec{x})} \langle 0 | J_\Pi(x') \mathcal{O}(x) \bar{J}_N(x_0) | 0 \rangle$$

# Lattice settings

## Lattice paramters

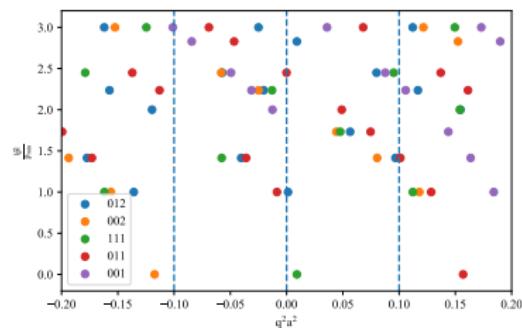
lattice size	$24^3 \times 64 \times 24$
gauge action	Iwasaki-DSDR
fermion	DWF
$\beta$	1.633
lattice cutoff	$a^{-1} = 1.0230(20)\text{GeV}[1]$
$m_l a$	0.00107
$m_h a$	0.0850
$m_\pi a$	0.1387
$m_K a$	0.5051
$m_{\text{res}}$	0.0022824(70)
$m_\pi L$	3.3
Deflated CG	2000+1000
AMA	32+2
$N_{cfg}$	129

lattice size	$32^3 \times 64 \times 12$
gauge action	Iwasaki-DSDR
fermion	DWF
$\beta$	1.75
lattice cutoff	$a^{-1} = 1.3787(48)\text{GeV}[1]$
$m_l a$	0.0001
$m_h a$	0.0450
$m_\pi a$	0.1046
$m_K a$	0.3602
$m_{\text{res}}$	0.0018915(75)
$m_\pi L$	3.4
Deflated CG	2000+250
AMA	32+1
$N_{cfg}$	75(out of 112)

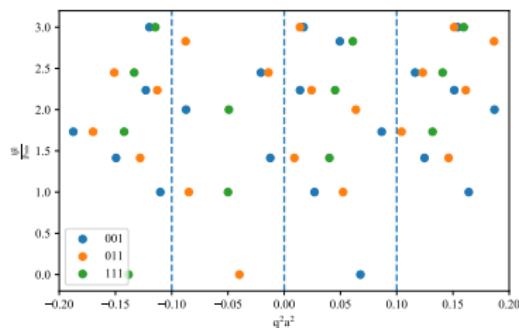
# Kinematic Choice

- Energy-momentum conservation
- $q^2 = -m_I^2 \sim 0$  on-shell condition
- Discrete momenta insertion on the lattice

$\pi$



$K$



# Kinematic Choice

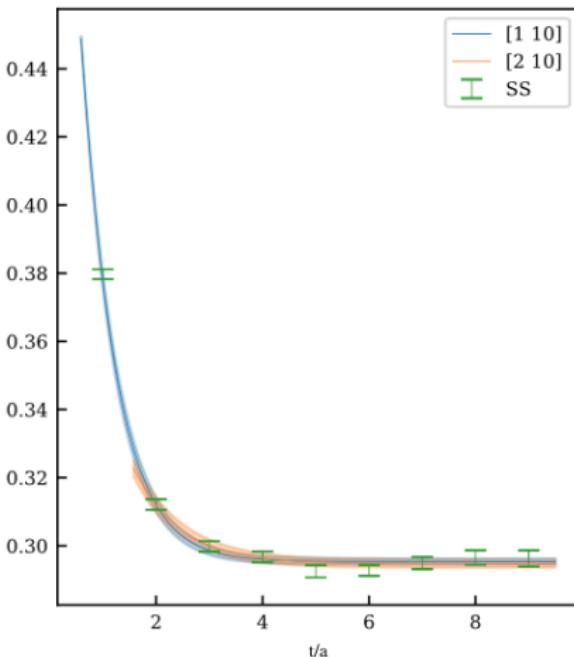
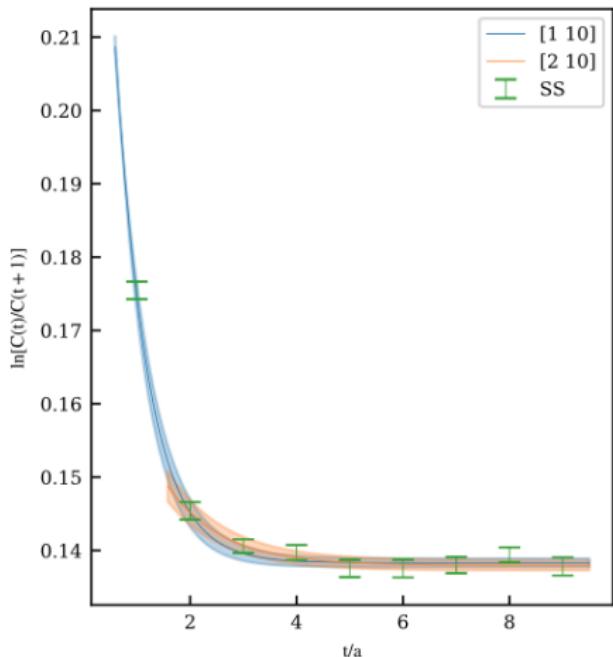
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$\Pi$	$\vec{n}_\Pi$	$\vec{n}_N$	$q^2(\text{GeV}^2)$	24c, 32c
$\pi$	[1 1 1]	[0 0 0]	0.010, -0.012	
		[0 1 0]	0.113, 0.095	
	[0 0 2]	[0 0 0]	-0.116, -0.14	
$K$	[0 1 1]	[0 0 0]	-0.034, -0.042	
		[0 1 0]	0.058, 0.056	
	[0 0 1]	[0 0 0]	0.075, 0.074	

Table: Momenta choice for physical kinematics

# Two states fit of two point functions

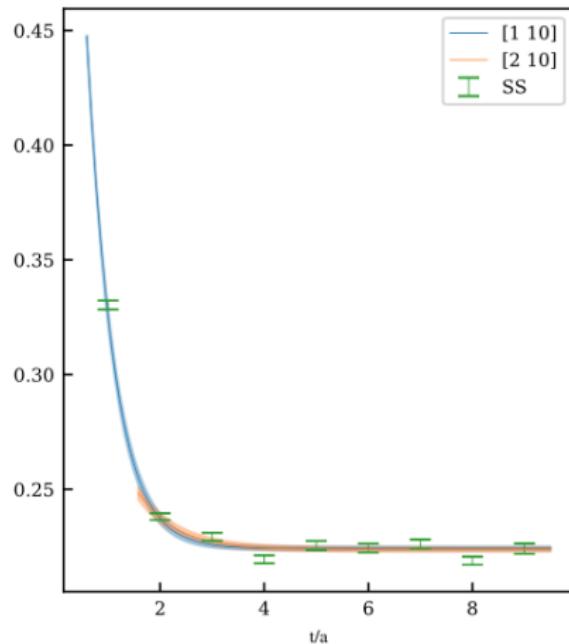
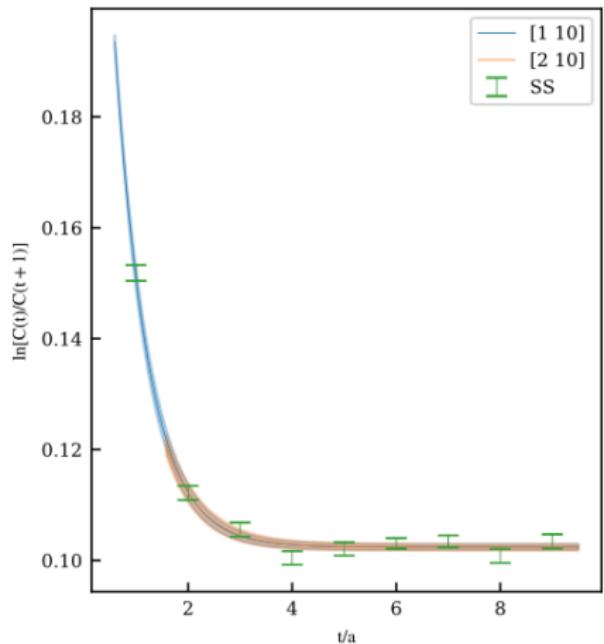
Pion



$$24^3 \times 64 \text{ lattice } (a^{-1} = 1.0230 \text{ GeV})$$

# Two states fit of two point functions

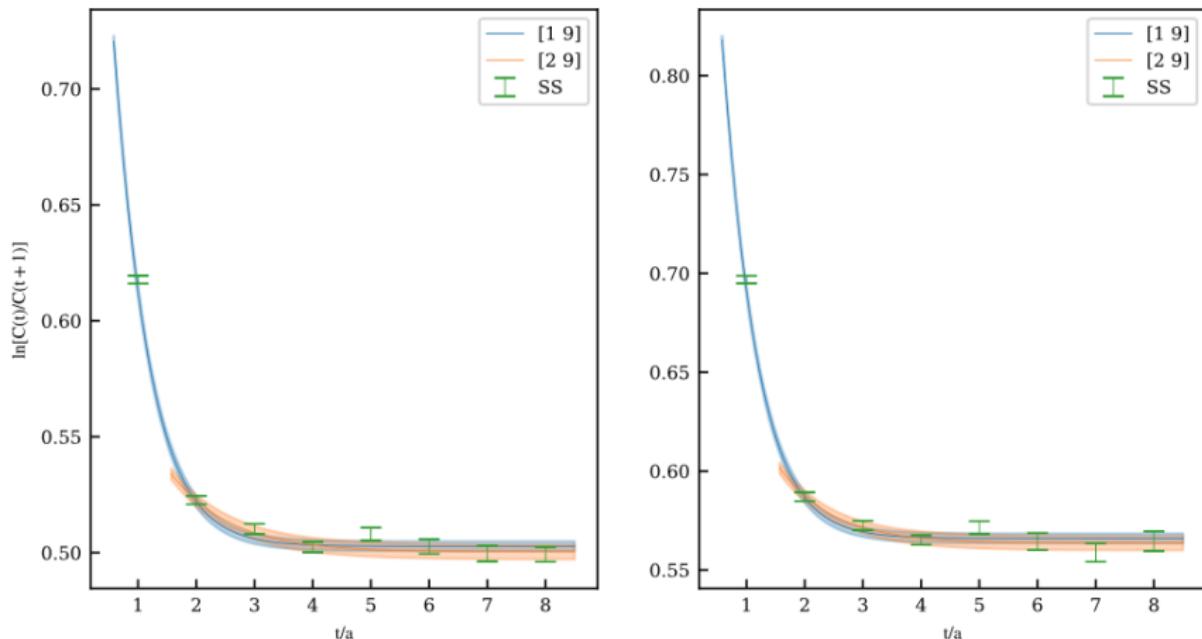
Pion



$32^3 \times 64$  lattice ( $a^{-1} = 1.3787$  GeV)

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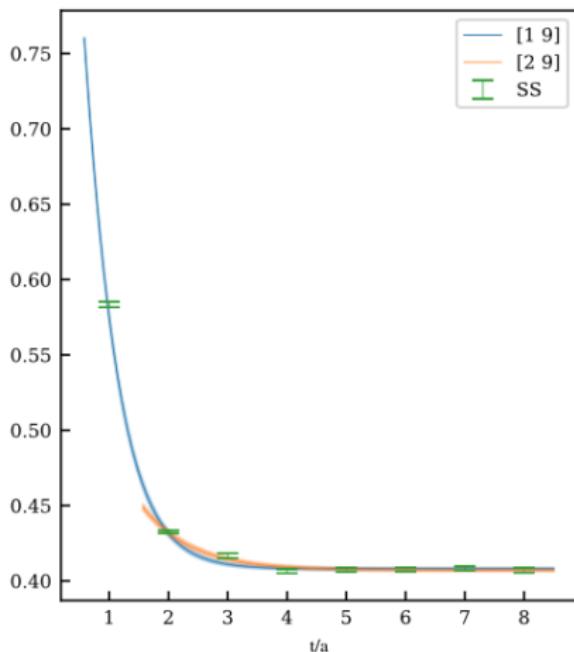
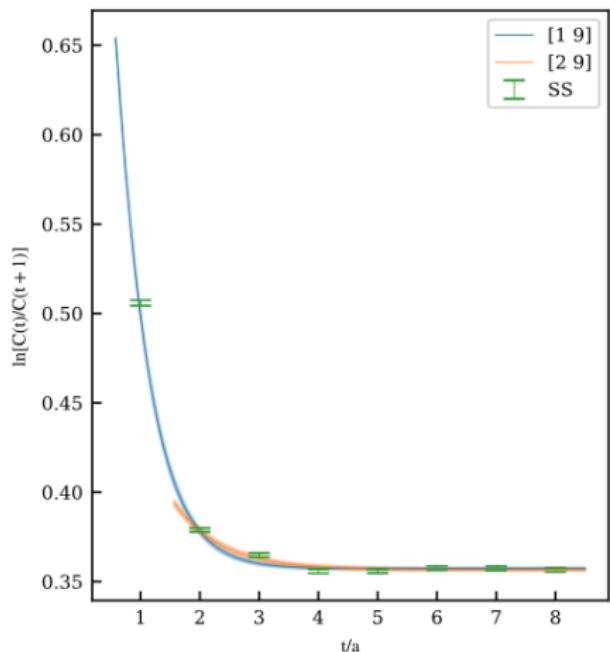
Kaon



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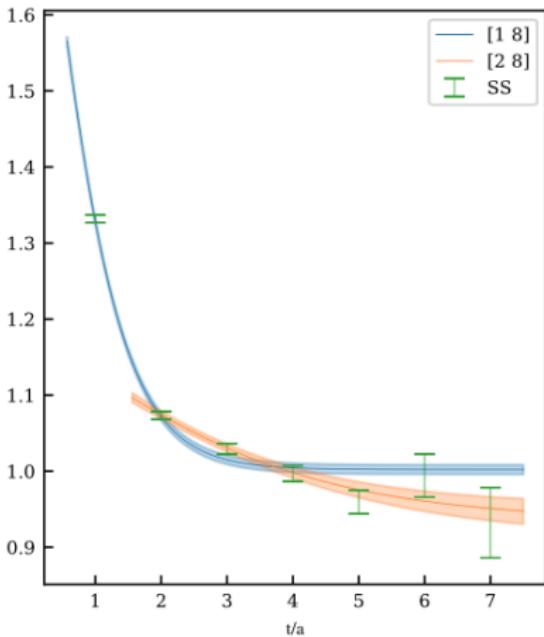
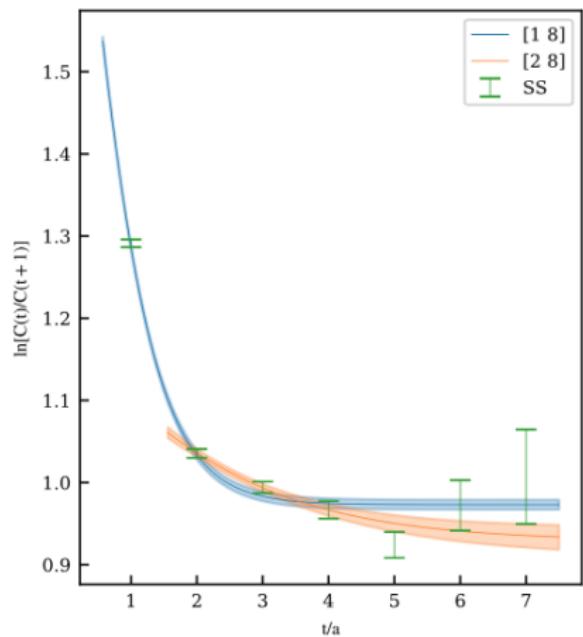
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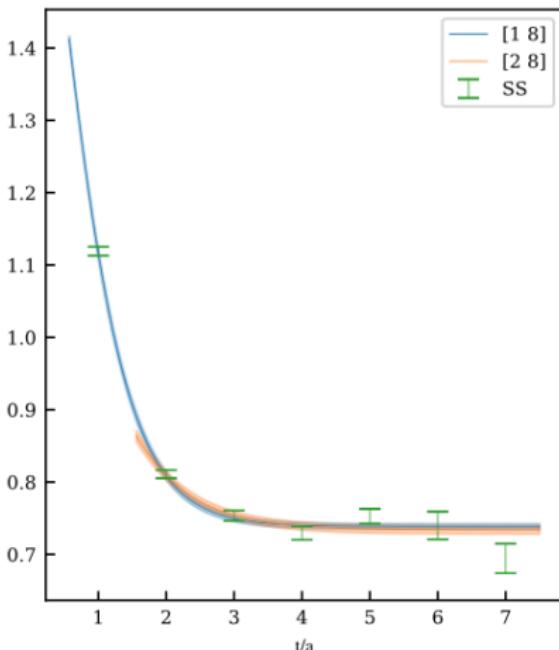
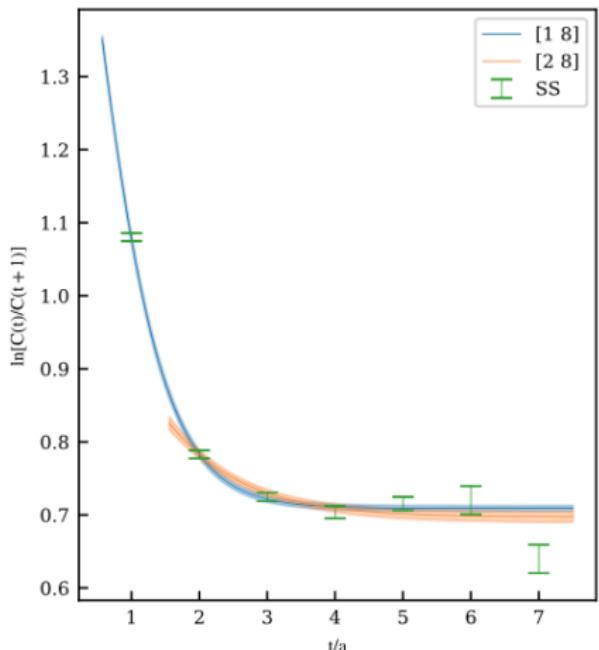
Proton



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# Two states fit of two point functions

Proton



$$32^3 \times 64 \text{ lattice } (a^{-1} = 1.3787 \text{ GeV})$$

# Two states fit of two point functions

## Dispersion relation

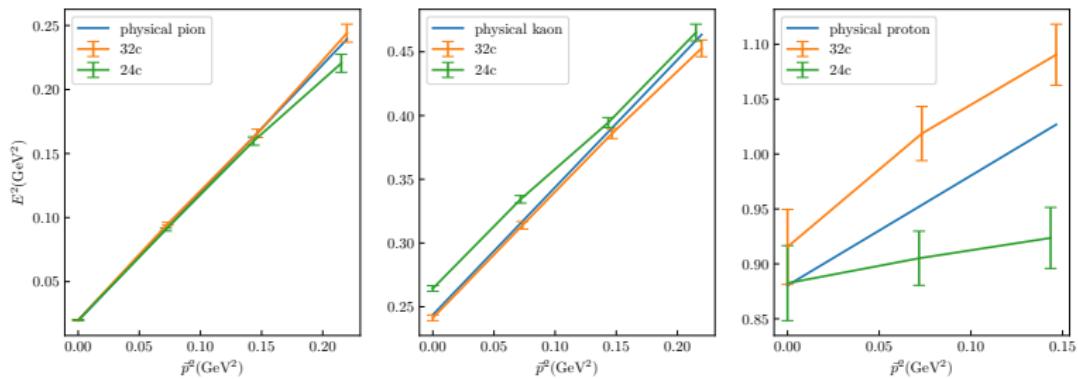


Figure: Dispersion relation compared with experimental measurement

# Two states fit of three point functions

For linear combinations

$$\text{Tr}[\mathcal{P}C_X] = \begin{cases} \text{Tr}[P_+ C_{3\text{pt}}^{\Gamma\Gamma'}] - \vec{q} \cdot \text{Tr}[P_+ i\vec{\gamma} C_{3\text{pt}}^{\Gamma\Gamma'}] \frac{(E_N - E_\pi)(m_N + E_N)}{\vec{q}^2} \\ \frac{1}{\vec{q}^2} \text{Tr}[P_+ \gamma_j C_{3\text{pt}}^{\Gamma\Gamma'}] \end{cases}$$

- Plateau method

$$R^{\Gamma\Gamma'}(t', t, t_0) = \frac{\text{Tr}[\mathcal{P}C_X(t', t, t_0)] \sqrt{Z_\Pi(\vec{p}') Z_N(\vec{p})}}{C_\Pi^{2\text{pt}}(\vec{p}', t' - t) \text{Tr}[\mathcal{P}_+ C_N^{2\text{pt}}(\vec{p}, t - t_0)]}$$

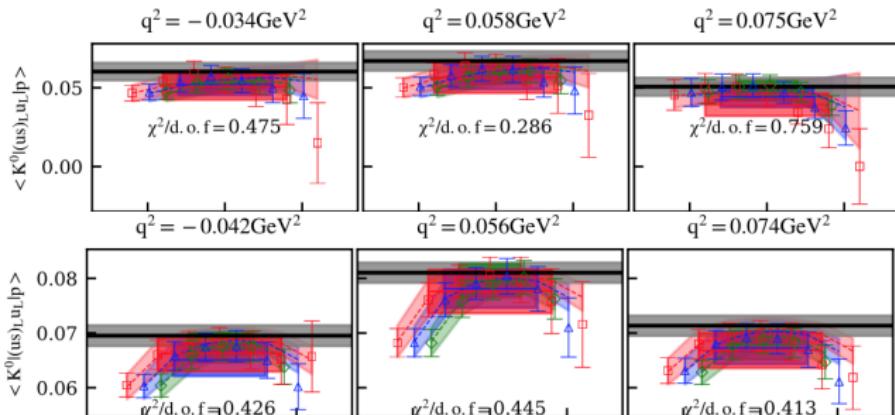
- Two states fit

$$\text{Tr}[\mathcal{P}C_X] = \sum_{i,j=0,1} \frac{\sqrt{Z_\Pi^i Z_N^j}}{2E_{\Pi_i}} e^{-E_\Pi^i(t' - t) - E_N^j(t - t_0)} \frac{m_N^j + E_N^j}{2E_N^j} W_C^{(ij)}$$

where

$$W_C(q^2) = \begin{cases} W_0^\Gamma(q^2)(m_N + E_N) + \frac{(E_N - E_\pi)(m_N + E_N) - (\vec{k} \cdot \vec{q})}{m_N} W_1(q^2) & (\mathcal{P} = P_+) \\ -ik_j W_0(q^2) + \frac{ik_j(E_N - E_\pi) - iq_j(m_N + E_N) \pm (\vec{q} \times \vec{k})_j}{m_N} W_1(q^2) & (\mathcal{P} = P_+ \gamma_j) \end{cases}$$

## Two states fit of three point functions



**Figure:** Bare  $W_0(q^2)$  form factor for the matrix element  $\langle K^0 | (us)_L u_L | p \rangle$  on the (top) 24c (bottom) 32c lattice.

- Source-sink separation of 8,9,10 (Green, Blue, Red)
- Plateau method in horizontal line for each separation(color)
- Two-states fit as dashed line and curved range
- Ground states extraction as Black horizontal line with grey shades

# Renormalization

Three quark operator

$$\mathcal{O}_{uds}^{\Gamma\Gamma'} = \epsilon^{abc} (\bar{u}^c \Gamma^a d^b) \Gamma' s^c,$$

The Green's function in Landau gauge

$$G_{uds}^{\Gamma\Gamma'}(p, q, r) = \sum_{xyz} e^{-ipx - iqy - irz} \langle \mathcal{O}_{uds}^{\Gamma\Gamma'}(0) \bar{s}(x) \bar{d}(y) \bar{u}(z) \rangle,$$

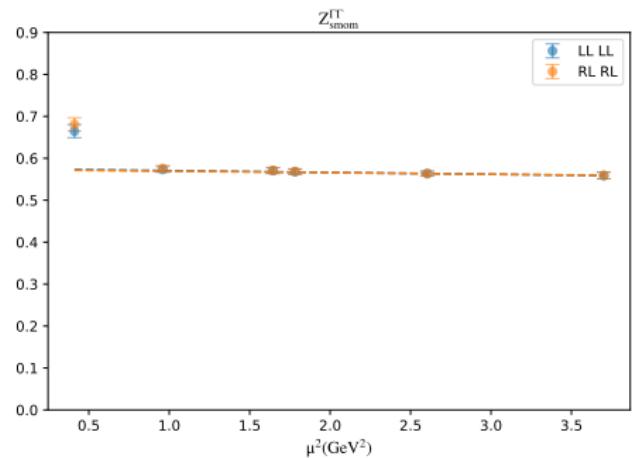
$$G_{udd}^{\Gamma\Gamma'}(p, q, r) = \sum_{xyz} e^{-ipx - iqy - irz} \langle \mathcal{O}_{udd}^{\Gamma\Gamma'}(0) \bar{d}(x) \bar{d}(y) \bar{u}(z) \rangle,$$

where the momentum configurations meet  $p^2 = q^2 = r^2 = \mu^2, p + q + r = 0$ .

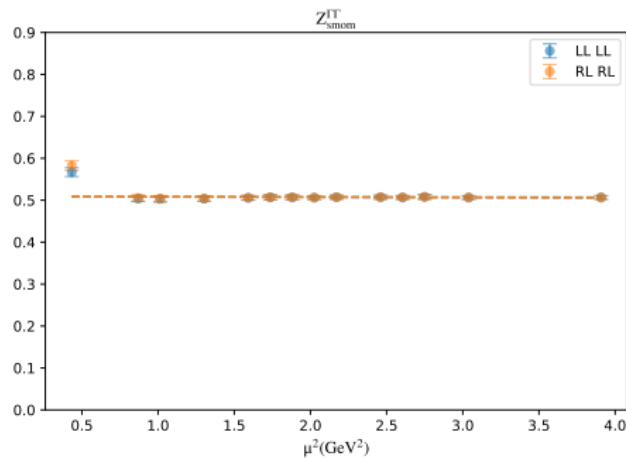
Two-loop matching, Three-loop perturbative running [Gracey, 2012]

$$U^{\overline{MS} \leftarrow latt}(\mu) = U^{\overline{MS}}(\mu, \mu') \frac{Z^{\overline{MS}}(\mu')}{Z^{MOM}(\mu')} Z_{latt}^{MOM}(\mu')$$

# Renormalization



(a) 24c lattice



(b) 32c lattice

# Renormalization

$Z_{ND}$	lattice	flavor	$am_q^{NPR,1}$	$am_q^{NPR,2}$	$am_q^{NPR,3}$
LL	24c	uds	0.62(2)	0.573(24)	0.577(12)
RL	24c	uds	0.61(2)	0.568(21)	0.577(11)
LL	32c	uds	0.506(13)	*	0.508(7)
RL	32c	uds	0.504(10)	*	0.508(7)
LL	24c	udu	0.62(2)	*	*
RL	24c	udu	0.61(2)	*	*
LL	32c	udu	0.500(12)(10)	*	0.508(7)
RL	32c	udu	0.506(11)(16)	*	0.508(7)

Table: NPR result

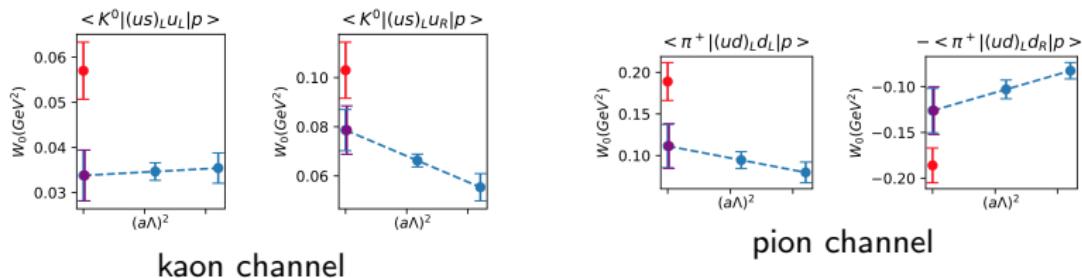
# Continuum limit

## Continuum limit ( $a = 0$ )

as an extrapolation of two lattice spacing  $32c$  ( $a = 0.14\text{fm}$ ) and  $24c$  ( $a = 0.2\text{fm}$ ).

$$W((a\Lambda)^2) = w^{(0)} + w^{(1)}(a\Lambda)^2 + w^{(2)}(a\Lambda)^4 + \mathcal{O}((a\Lambda)^6)$$

## Comparison with earlier study, [Aoki, 2017]

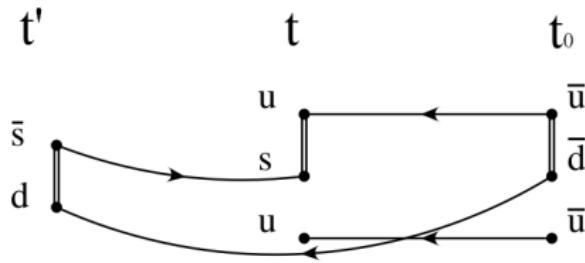


## Possible extensions

Proton decay matrix elements can be investigated further to see:

- Vector meson channels from proton decay
- Three body decay channel from proton decay
- Induced Nucleon Decay from Dark matter

# Vector meson channels



- Same computation with different  $\Gamma$  structures
- Different form factor decomposition
- Asymptotic vector meson channel should be there.

$$\begin{aligned}\langle K^{*i}(Q)\ell(p')|O_{d=6}|p(p,s)\rangle = & \epsilon_\mu^i \bar{v}_\ell^c [F_1 \gamma_5 \gamma^\mu + F_2 i \gamma_5 \sigma^{\mu\nu} Q_\nu + F_3 \gamma_5 Q^\mu \\ & + F'_1 \gamma^\mu + F'_2 i \sigma^{\mu\nu} Q_\nu + F'_3 Q^\mu] u_N\end{aligned}$$

# Three body decay

## Three body decay

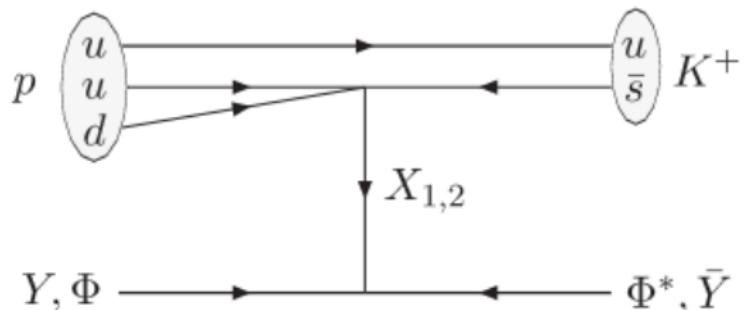
- Resonant to vector meson channels :  $p \rightarrow K^* + \bar{\ell} \rightarrow (K\pi)\bar{\ell}$
- Decay rate ratio  $(\Gamma(p \rightarrow \pi\pi e^+)/\Gamma(p \rightarrow \pi e^+))$  estimates to  $\sim 24\text{--}150\%$  [Wise,1980]
- Prime channel of next generation experiment
- Numerically cheapest among three body decay channels

Decay Mode	Water Cherenkov		Liquid Argon TPC	
	Efficiency	Background	Efficiency	Background
$p \rightarrow K^+\bar{\nu}$	19%	4	97%	1
$p \rightarrow K^0\mu^+$	10%	8	47%	< 2
$p \rightarrow K^+\mu^-\pi^+$			97%	1
$n \rightarrow K^+e^-$	10%	3	96%	< 2
$n \rightarrow e^+\pi^-$	19%	2	44%	0.8

DUNE proton decay efficiency

## Future projects

Induced Nucleon Decay model [Davoudiasl, 2010]



- DM can annihilate the nucleon
- $\mathcal{L}_{\text{eff}} \sim (1/\Lambda^3) u_R d_R d_R Y_R \Phi + \text{h.c.}$
- $\langle \Pi(p') | O^{\Gamma\Gamma'}(q) | N(p, s) \rangle = P_{\Gamma'} \left[ W_0^{\Gamma\Gamma'}(q^2) + \frac{m_Y}{m_N} W_1^{\Gamma\Gamma'}(q^2) \right] u_N(p, s)$

- Proton decay matrix elements on the two lattice ensemble with chiral fermions at physical scale
- Two-loop NPR, with Three-loop perturbative running is done
- Three quark op. non perturbative renormalization
- New channels(vector meson, three body)
- Induced nucleon decay by DM

# The End